

10 Rec'd PCT/PTO 09 FEB 2001

PATENT

#2

40511

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Naldini & Song

Attention: Box PCT

Serial No.: 09/581,308

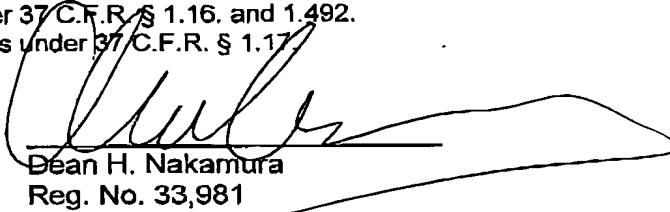
Filed: 11 December 2000

For: THERAPEUTIC USE OF
LENTIVIRAL VECTORSCOMPLETION OF APPLICATION UNDER 37 C.F.R. § 1.497ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

In response to the Communication dated 09 August 2000, copy attached, submitted herewith is:

- ☒ An executed Declaration and Power of Attorney.
- ☒ Kindly charge the surcharge of \$65.00 to Deposit Account No. 18-2220. A duplicate copy of this paper is attached hereto.
- ☐ A Petition for an extension of 5 months to respond and the fee of \$945.00 were submitted separately on even date. The filing was made through the PTO mailroom. Attached hereto is a copy of the Petition and of the check.
- ☒ The Commissioner hereby is authorized to charge payment of the following fees associated with the instant communication or credit any overpayment to Deposit Account No. 18-2220:
 - ☒ Any additional patent application filing fees under 37 C.F.R. § 1.16, and 1.492.
 - ☒ Any additional patent application processing fees under 37 C.F.R. § 1.17.


Dean H. Nakamura
Reg. No. 33,981

Roylance, Abrams, Berdo & Goodman, L.L.P.
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Dated: 9 February 2001

Completion Sept 9, 2000



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

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Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DCKET NO.				
09/581308	NALDINI	L 40511				
ROYLANCE ABRAMS BERDO & GOODMAN 1300 19TH STREET NW SUITE 600 WASHINGTON, DC 20036		INTERNATIONAL APPLICATION NO. PCT/US98/25720				
		<table border="1"> <tr> <td>INT. FILING DATE</td> <td>PRIORITY DATE</td> </tr> <tr> <td>11 DEC 98</td> <td>12 DEC 97</td> </tr> </table>	INT. FILING DATE	PRIORITY DATE	11 DEC 98	12 DEC 97
INT. FILING DATE	PRIORITY DATE					
11 DEC 98	12 DEC 97					
		DATE MAILED: 09 AUG 2000				

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed _____ and _____.

☐ Information Disclosure Statement(s) filed _____ and _____.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____.

☐ Verified Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☐ and copies of the references cited therein.

☒ Other: PCT/PEA/401, 402 & 408. SEQUENCE LISTING & DNA DISKETTE

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed:

☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Barbara A. Campbell

Telephone: 703-305-3631

Doc'd *PH* File *40511*
 Rec'd
 AUG 15 2000
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ABRAMS, BERDO & GOODMAN, L.L.P.

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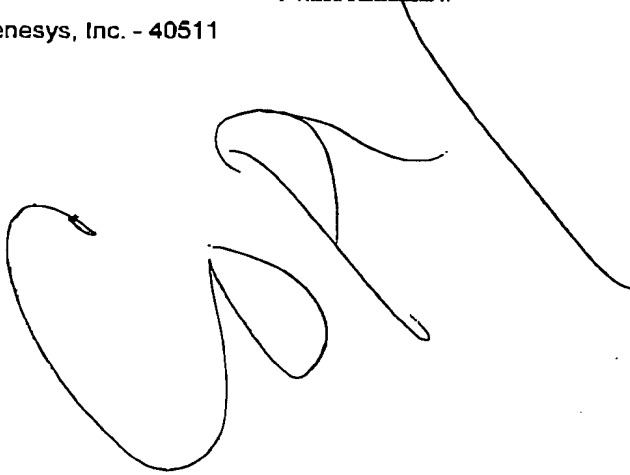
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Re: U.S. 581,308

Att # 40511

- | | | |
|------------------------------|------|------------------|
| 1) Completion of Application | 1 pg | in duplicate (2) |
| 2) Copy of PCT/OD/EO/905 | 1 pg | (1) |
| 3) Executed Declaration | 2 pg | (2) |
| 4) Copy of Pct Ext Time | 1 pg | (1) |
| 5) Copy of Check | 1 pg | (1) |

7pgs

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BEFORE FEDERAL COURTS AND AGENCIES

OF COUNSEL
GARRETT V. DAVIS